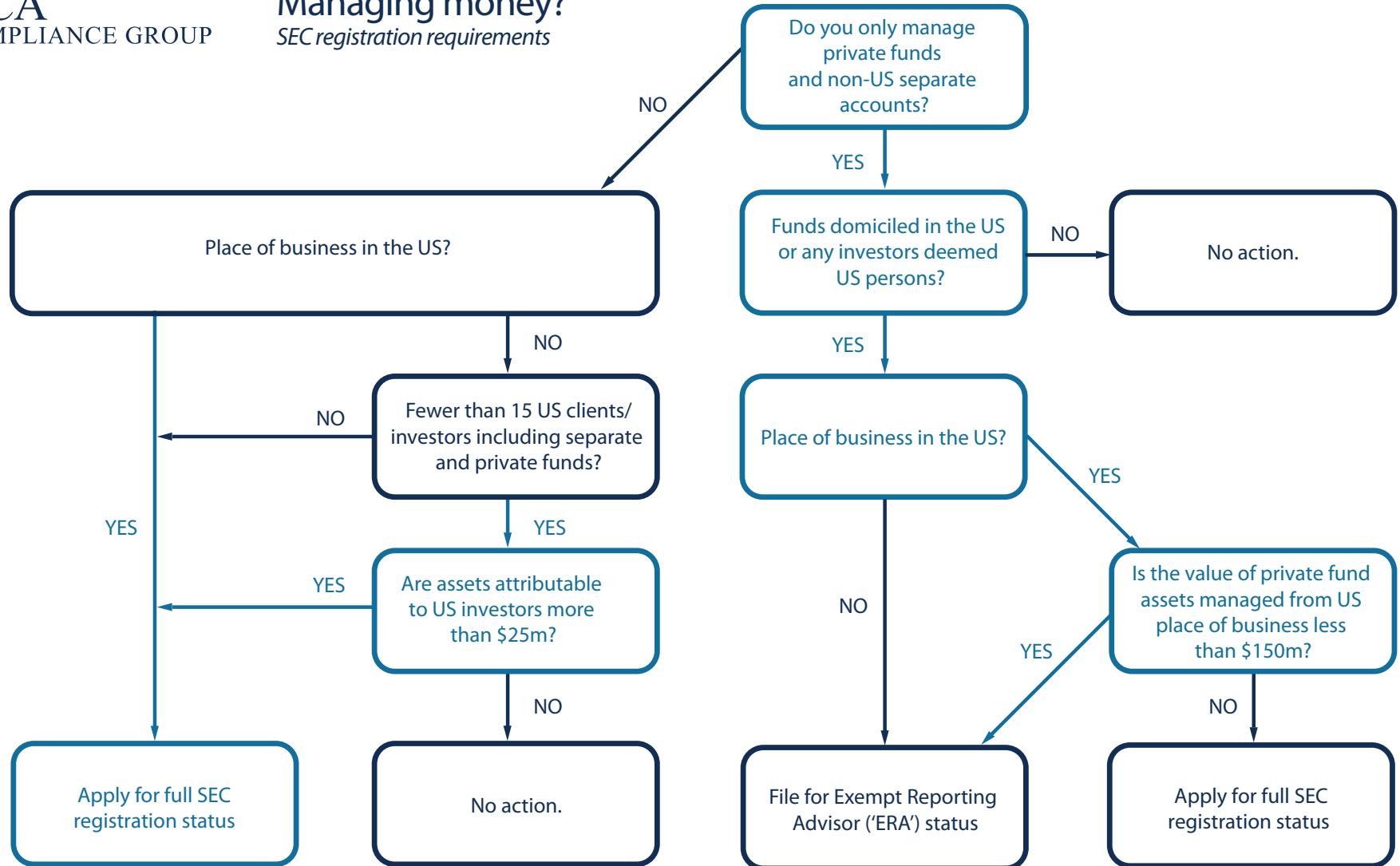


Managing money? SEC registration requirements



SEC registration and on-going compliance support, delivered by former SEC regulators and compliance professionals

Certain other scenarios may allow for an ERA status and further analysis is recommended. This information is provided for general information purposes only and does not constitute professional legal or consulting advice for any particular situation. Information is believed to be accurate as of the date of original publication. ACA undertakes no obligation to update such information to reflect subsequent developments or regulatory changes, or to provide notification of any such changes.

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